

JAN 12 2007

**FAX TRANSMISSION**

DATE: January 12, 2007

PTO IDENTIFIER: Application Number 09/942,426-Conf. #7416  
Patent Number

Inventor: Peter R. Horsfall

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: DICKSTEIN SHAPIRO LLP

Joseph W. Ragusa

PHONE: (212) 277-6584

Attorney Dkt. #: E3331.0525

PAGES (Including Cover Sheet): 19

**CONTENTS:** One Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)  
Amendment in Response to Non-Final Office Action (15 pages)  
Payment by credit card. Form PTO-2038 is attached (1 page)  
Charge \$670.00 to credit card  
Amendment Transmittal Form (1 page)

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DICKSTEIN SHAPIRO LLP

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Telephone: (212) 277-6500 Facsimile: (212) 277-6501

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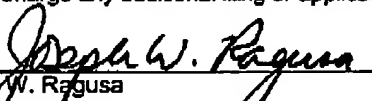

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<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. E3331.0525	
Application No. 09/942,426-Conf. #7416		Filing Date August 29, 2001		Examiner Olabode Akintola	
				Art Unit 3624	
Applicant(s): Peter R. Horsfall					
Invention: ELECTRONIC TRADING SYSTEM					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	23	- 20 =	3	X \$50.00	\$ 150.00
<b>Independent Claims</b>	6	- 4 =	2	x \$200.00	\$400.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within first month					\$120.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>\$670.00</b>
<input checked="" type="checkbox"/> Large Entity <span style="margin-left: 200px;"><input type="checkbox"/> Small Entity</span>					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. <u>50-2215</u> in the amount of \$ _____ A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-2215</u> as described below.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Joseph W. Ragusa Attorney/Agent Reg. No.: 38,686 DICKSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6584				Dated: <u>January 12, 2007</u>	
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